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Seismic performance of dual concentrically braced steel frames accounting for joint behavior

Desempenho sísmico dos pórticos metálicos duais com contraventamentos centrados incluindo comportamento das juntas

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Abstract

Beam-to-column joints play an important role in the overall seismic behavior of steel frame structures, since the deformations in the panel zone of the beam-to-column joint region significantly affects the seismic behavior of steel joints. This paper aims to assess the seismic performance of dual concentrically braced steel frames (D-CBF) through static and incremental dynamic nonlinear analyses using different strategies to detailed modelling of the joints. A case study building with 6-storeys and 4 bays is used to illustrate the design of a D-CBF with different joint performance levels and to assess the influence of the joints. The frame studied is a perimeter seismic resistant system while the inner frames are designed for gravity loads only. The design and seismic performance of the joints is based on the pre-normative design recommendations achieved in the scope of the EQUALJOINTS project where different design procedures for beam-to-column joints are proposed in order to render different performance objectives. The study explores the effects of the joint models and provides recommendations for the design of such frames specifically accounting for the different joint typologies.

Keywords: Steel joints / Seismic performance / Stiffness / Dual frames

Resumo

As juntas viga-pilar desempenham um papel importante no comportamento sísmico global das estruturas em aco, uma vez que as deformações na zona do painel da alma da coluna afetam o comportamento estrutural. Este trabalho tem como objetivo avaliar o desempenho sísmico de pórticos metálicos duais com contraventamentos centrados através de análises não-lineares dinâmicas incrementais usando diferentes estratégias para modelação das juntas. Um caso de estudo constituído por um edifício de 6 pisos e 4 vãos com pórticos resistentes duais compostos por pórtico simples e vão com contraventamentos centrados é usado como exemplo para a análise e avaliação dos diferentes níveis de desempenho das ligações. O dimensionamento e o desempenho cíclico das ligações baseiam-se nas recomendações de projeto pré-normativas desenvolvidas no âmbito do projeto europeu EQUALIOINTS. As regras de dimensionamento desenvolvidas incluem procedimentos para diferentes objetivos de desempenho. O sistema estrutural sismorresistente é composto por um sistema de resistência sísmica no perímetro do edifício e vãos internos dimensionados somente para cargas gravíticas. São abordadas as recomendações para o projeto deste tipo de estruturas no que se refere às diferentes tipologias de ligações.

Palavras-chave: Juntas viga-pilar metálicas / Desempenho sísmico / Rigidez / / Pórticos duais

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1 Introduction

In the point of assembly where a beam is connected to a column, the term "connection" refers to the physical component which mechanically fastens the beam and column and it is concentrated at the location where the fastening action occurs. While the term "joint", on the other hand, refers to the connection plus the corresponding zone of interaction between the connected members (i.e. the panel zone in the column web) [1].

Eurocode 3 part 1-8 [2] states that the effects of the behavior of the joints on the distribution of internal forces and moments within a structure, and on the overall deformations of the structure, may generally be neglected, but where such effects are significant (such as in the case of semi-continuous joints) they should be taken into account. It identifies three simplified joint models as simple, continuous, and semi-continuous. Furthermore, it gives correlations for the joint modelling type depending on the joint classification and method of global analysis as shown in Table 1 where joints are classified in terms of their rigidity and strength.

Table 1 Type of joint models according to EN 1993 1-8

Method of global analysis		Classification of joints			
Elastic	Nominally Pinned	Rigid	Semi-rigid		
Rigid-plastic	Nominally pinned	Full-strength	Partial-strength		
	Nominally pinned		Semi-rigid and partial-strength		
Elastic-plastic		Rigid and full-strength	Semi-rigid and full-strength		
			Rigid and partial-strength		
Type of joint model	Simple	Continuous	Semi-continuous		

Dissipative structures demand a good level of ductility in the joints as the global performance of steel structures in seismic scenario is highly influenced by the post-elastic behavior of the connections. Ductile joints are crucial in seismic resistant steel structures due to their role in absorbing and dissipating energy in addition to dampening vibrations.

An effort was made to assess the level of influence that semirigid joints have on different frame typologies in the scope of the EQUALJOINTS+ project [3]. Current modelling practices by practicing design engineers vastly implement a simple center line to center line model possibly incorporating end offsets and rigid ends. Researchers, on the other hand, implement explicit panel zone modelling methods of varying complexity such as the Scissors-type model, and the Krawinkler model [4], [5] via the use of springs and rigid elements. The pre-normative research project EQUALJOINTS [6] implemented an additional classification of joints termed "equal strength" - that ranges between full and partial strength connections. Accordingly, joints have been classified based on strength as either weak, equal or full strength, while the web panel is classified as either weak, balanced or strong. Publications from the project recommended a set of coefficients and ratios for the estimation of joint and connection stiffness and strength for prequalified joints. Furthermore, it considers the use of semi-rigid connections in seismic conditions. This paper experiments with different joint modelling procedures/ /techniques available and these stiffness values in order to assess the effect of different connection typologies on the global performance of a dual concentrically braced steel frame in case study. Firstly, the frame is analyzed and designed disregarding the joint dimensions. The frame's performance is then assessed for both the simplified models and the refined ones using three extended stiffened endplate connection typologies of varying connection strength and joint stiffness values. At last, design is repeated - this time - based on analysis results obtained from the refined models, and is compared against the initial design.

2 Case study

2.1 **Building configuration**

The case study building is a 6-storey office building with 4 bays in each principal direction. It has a typical storey height of 3.50 m and the total height of the building is 21 m. The width of the building is 24 m with each bay measuring 6 m. The seismic resistance of the building is provided by the perimeter frames. As a result, the inner bays in both directions are to be designed for gravity loads only. The perimeter frame is composed of a moment resisting frames and a concentrically braced bay. An arrangement of these lateral force resisting systems was made such that the joints in three of the four perimeter bays are moment resisting while the last bay is hinged. The braced bay is situated at the centre of the three moment resisting bays. It is assumed that the vertical transport access facilities, such as stairs and elevators, are provided by an external independent structure.

The seismic resistance scheme of the building and the tributary area for the perimeter frames in the Y-direction are depicted in Figure 1 (a). Figure 1 (b) shows an elevation view of the perimeter frame where the beams in the last bay are hinged at the ends, and the braces are assumed to be pinned.

A steel grade of \$355 have been used for the beams and columns, while an S235 grade steel was used to model the braces. Owing to the planar and vertical regularity of the building, a 2D frame analysis was carried out to calculate the design actions. In doing so, tributary gravity loads are transferred to the perimeter 2D frames that are responsible of carrying the lateral forces in this building. The columns are assumed fixed at the base level and are continuous throughout the building height. The beams in the first three bays are assumed to be moment resisting while the one in the last bay is pinned at both ends (gravity beam). The diagonal bracings have been modelled as pin-pin connected at both ends, thus are modelled as bar elements taking axial forces only. The tributary seismic mass was lumped at nodes and rigid diaphragms were assumed to model the floor diaphragms. In addition, leaning columns were modelled and loaded with the seismic gravity loads that are not directly applied to the 2D frame model in order to capture the overall overturning effect. The analysis model was developed in SAP2000 [7].



(b)

(a) Plan view and tributary area, (b) Elevation view of the perimeter frame Figure 1

2.2 Frame design

The frame was designed according to Eurocodes [8], [9] recommendations, however, disregarding the joint dimensions initially. Design checks were made for the design resistance of members in the two subsystems that constitute the D-CBF, i.e. the MRF and the CBF. In addition, a separate analysis was conducted to check the dual systems' performance. AISC 341 [10] was used to check the contribution of each subsystem to the dual-frame. According to this code, it is necessary to guarantee that the MRF system has a minimum lateral strength equal to 25%. The braced parts of dual systems were designed to resist at least the 75% of the design lateral forces, as indicated by AISC 341. An approach adopted from [11] [12] was implemented where the MRF zones were not designed to resist the complementary 25% of the design forces, but to resist at least the 25% of the plastic lateral strength at each storey thus leading to the following design inequality:

$$V_{Rdj}^{MRF} \ge 0.25 \times V_{Rdj}^{DUAL} \qquad V_{Rdj}^{DUAL} = \frac{1}{0.75} \times V_{Rdj}^{CBF} = \frac{1}{0.75} \times \left(N_{\rho l}^{+} + 0.3 \times N_{\rho l}^{-}\right) \times \cos\alpha_{i}$$

The designed sections obtained are presented in Table 2.

Table 2Design sections of the frame

	Beam sections		Column sections			
Storey	Braced bay	Others	Axes C1, C4 & C5	Axes C2 & C3	Brace sections	
1	HEB500	IPE300	HEB220	HEM400	CHS 273 × 10	
2	HEB500	IPE300	HEB220	HEM360	CHS 273 × 10	
3	HEB500	IPE300	HEB200	HEM320	CHS 273 × 10	
4	HEA450	IPE300	HEB200	HEM320	CHS 244.5 × 8	
5	HEA450	IPE300	HEB180	HEB300	CHS 219.1 × 8	
6	HEA400	IPE300	HEB180	HEB300	CHS 139.7 × 8	

3 Non-linear analysis

In non-linear analysis, the mathematical model used for the usual elastic analysis is extended to include the strength of structural elements and their post-elastic behavior. The two methods, i.e., nonlinear static pushover analysis and nonlinear time-history dynamic analysis, are commonly used to evaluate the structural performance of buildings in the non-linear range.

3.1 Non-linear static (pushover) analysis

Pushover analysis is a non-linear static analysis carried out under conditions of constant gravity loads and monotonically increasing horizontal loads. The output of pushover analysis is a response curve of the structure expressed as a plot of base shear versus roof displacement. EN 1998-1 clause 4.3.3.4.2.2(1) requires the use of two lateral force distributions:

- a "uniform" pattern, based on mass proportional lateral forces, regardless of elevation (uniform response acceleration);
- a "modal" pattern, where the lateral forces are proportional to the fundamental mode of vibration weighted with the masses at each storey. This distribution corresponds to lateral forces determined as in the lateral force method.

In general, the "uniform" pattern leads to larger demand estimates at the lower storeys, while the "modal" pattern overestimates the demand for the upper storeys.

The formation of the plastic hinges is evaluated by the structural analysis program based on the plastic behaviour of the structural elements described in the form of a force – displacement or moment-rotation curves for each element. Annex B of EN 1998-3 [13] defines criteria for the acceptable damage state condition of the plastic hinges associated to three limit states: Damage Limitation (DL), Significant Damage (SD), and Near Collapse (NC) (see Figure 2). The parameters shown in Table 3, which are obtained from Tables B1, B2 and B3 of EN 1998-3 [13], were used to define Limit states for class 1 sections.



- Figure 2 Generalized acceptance criteria damage state conditions [14]
- Table 3Member deformation capacities at different limit states
EN 1998-3 [13]

Limit state	Drift	Brace ductility in tension	Brace ductility in compression	Beam rotation
DL	0.75%	0.25 Δ _t	0.25 Δ _c	1 θ _y
SD	2.50%	7.00 Δ_{t}	$4.00 \Delta_{c}$	6 θ _y
NC	3%	9.00 Δ_{t}	$6.00 \Delta_{c}$	8 θ _y

3.2 Non-linear incremental dynamic analysis (IDA)

Incremental Dynamic Analysis (IDA) is a nonlinear time-history dynamic analysis where one or various seismic inputs (ground motion records) are applied to a building model, each at different intensities – termed Intensity Measure "IM" – signifying different limit states/performance levels in order to evaluate the seismic performance of structures. These intensities are selected in such a way that it is possible to examine the structural behaviour from the

initial elastic response to the inelastic response and finally to overall dynamic instability, which corresponds to collapse. IDA curves are the output of such analysis where the seismic intensity is reported against the structural response parameter (e.g. interstorey drift ratio) for each ground motion record.

In the current study, IDA analyses have been carried out for three increasing values of peak ground acceleration (PGA) corresponding to the three limit states: Damage limitation (DL), Severe Damage (SD) and Near Collapse (NC). The values of the multiplier of accelerograms are assumed equal to 0.59, 1 and 1.73 for DL, SD and NC, respectively. Record-to-record variability has been accounted for by considering 10 recorded accelerograms (see Table 4). These recorded accelerograms have been selected and scaled in such a way that the average value of the spectra of the accelerograms is approximately compatible with the linear elastic response spectrum of EN 1998-1 (see Figure 3), for soil type B and PGA of 0.35 g.

Table 4 Accelerograms and scaling factors used

No.	Recorded accelerogram	Scaling factor
R1	Accumuli bevagna N-S	55.43
R2	ACHAIA Transversal	12.75
R3	AMATRICE E-W	9.81
R4	Brienza N-S	39.24
R5	Castelluccio Norcia N-S	15.21
R6	Castelsantangelosulnera E-W	5.89
R7	GEMONA L-T	11.97
R8	STURNO L-T	12.26
R9	TOLMEZZO Transversal	15.70
R10	Mirandola N-S	15.70



Figure 3 EC8 response spectrum compared to the avg. spectrum of the ground motion records

3.3 Modelling strategies

The frame was modelled in Seismostruct [15] and the following general modelling strategies were implemented.

3.3.1 Element and material model

As recommended in [16], columns, beams and diagonal bracings are modelled with Inelastic force based frame elements with fibre sections (InfrmFB). The Menegotto-Pinto (stl_mp) material available in Seismostruct is adopted representing the well-known uniaxial constitutive nonlinear hysteretic material model for steel. For each element, the cross section is meshed with at least 150 fibres and at least two of them across the thickness of the plate components (namely flange, web or walls). And at least 5 integration sections have been adopted for each member.

3.3.2 Leaning columns and masses

The gravity loads directly applied by the tributary area of loads acting on the planar frame do not reflect the actual amount of vertical forces producing overall overturning effects. Hence, in order to account for the influence given by the complement of vertical loads, a leaning column was modelled and loaded. Lumped mass elements are included in the nodes of the main frame representing the seismic mass of that particular frame on each storey.

3.3.3 Links

Link elements are used to model moment releases in the braces and beams in the last bay. They are also used in modelling in the leaning columns, as previously stated. Bi-linear (bi-kin) links are used to model the connection between the brace intercepted beams and supporting columns without the dissipative property. The bi-linear property includes the initial stiffness, the yielding moment and the post yield strain hardening rate taken as 1%. The strength and stiffness properties of the joints are calculated based on the values recommended in the EQUALJOINTS project (see Table 5). Zerolength M- θ springs accounting for the dissipative and degrading behaviour of the beams are placed at both ends of the beams outside of the braced bay. The hysteretic behaviour is modelled by links with the "smooth model" available in Seismostruct. The modelling parameter of the smooth model were calibrated using the software "Multi-Cal" [17] starting from the data of the experimental tests carried out in the framework of the EQUALJOINTS project.

3.3.4 Initial imperfection of braces

As recommended by [16] the brace members are subdivided into two. An initial imperfection of $e_0 = L/250$ is applied to the CHS braces out of plane for class 1 sections of buckling curve 'a' in plastic analyses.

The definition of the terms and symbols used in Table 5 is presented as follows:

- h_{rib} Rib height
- s_{rib} Rib width
- *h*_h Beam depth
- z_{wp} Web panel zone height

 M_{iRd}^n Bending moment capacity of joint (nominal)

M ^e _{pl.b.cf.Rd}	Plastic moment of beam calculated at the column face
1	(expected)
V ⁿ _{wp,Rd}	Shear capacity of the panel zone (nominal)

 $s_{\rm con,ini}$ Initial rotational stiffness of connection

*s*_{wp.ini} Initial rotational stiffness of web panel zone

*s*_b Flexural stiffness of beam

In the case of pushover analysis, lateral loads of either pattern (uniform or modal) are applied at structural nodes as shown in Figure 4 (a). While loading for the incremental dynamic analysis is applied on the bottom node of columns in the form of accelerations at the base level Figure 4 (b).

Table 5Extended stiffened joints properties [6]

	Geometry ⁻	Strength		Stiffness	
Joint type		Connection	Panel zone	Connection	Panel zone
ES-S-E: Equal-strength with strong panel zone	$\frac{h_{nb}}{h_b} = 0.35$	$\frac{M_{j,Rd}^n}{M_{pl,b,cf,Rd}^e} = 1.0$	External nodes: $\frac{V_{w\rho,Rd}^{n} \cdot z_{w\rho}}{M_{\rho l,b,cf,Rd}^{e}} = 1.15$	$\frac{S_{con,ini}}{S_b} = 34$	External nodes: $\frac{s_{wp, ini}}{s_b} = 35$
	$\frac{s_{rib}}{h_b} = 0.45$		Internal nodes:		Internal nodes:
	$z_{wp} = h_b + 0.6 h_{rb}$		$\frac{V_{wp,Rd}^{n} \cdot z_{wp}}{2 \cdot M_{pl,b,cf,Rd}^{e}} = 1.15$		$\frac{s_{wp,ini}}{2 \cdot s_b} = 35$
ES-S-F: Full-strength with	$\frac{h_{rib}}{h_b} = 0.45$	$\frac{M_{jRd}^n}{M_{el,b,cf,Rd}^e} = 1.5$	External nodes:	$\frac{S_{con,jni}}{S_b} = 68$	External nodes:
	$\frac{S_{rib}}{h_b} = 0.55$		$\frac{V_{wp,Rd}^n \cdot z_{wp}}{M_{pl,b,cf,Rd}^e} = 1.65$		$\frac{s_{wp,ini}}{s_b} = 56$
strong parlet zone			Internal nodes:		Internal nodes:
	$z_{wp} = h_b + 0.6 h_{rib}$		$\frac{V_{wp,Rd}^n \cdot z_{wp}}{2 \cdot M_{pl,b,cf,Rd}^e} = 1.6$		$\frac{s_{wp,ini}}{2 \cdot s_b} = 56$
		$\frac{M_{j,Rd}^n}{M_{pl,b,cf,Rd}^e} = 1.0$	External nodes:	$\frac{S_{con,ini}}{S_b} = 37$	External nodes:
ES-B-E:	$\frac{h_{rib}}{h_b} = 0.35$		$\frac{V_{wp,Rd}^{n} \cdot z_{wp}}{M_{pl,b,cf,Rd}^{e}} = 1.0$		$\frac{s_{wp,ini}}{s_b} = 30$
Equal-strength with balanced panel zone	$\frac{s_{rib}}{h_b} = 0.45$		Internal nodes:		Internal nodes:
	$z_{wp} = h_b + 0.6 h_{rib}$		$\frac{V_{wp,Rd}^n \cdot z_{wp}}{2 \cdot M_{pl,b,cf,Rd}^e} = 1.0$		$\frac{s_{wp,ini}}{2 \cdot s_b} = 30$



Figure 4 Loading for (a) pushover and (b) IDA

4 Numerical analysis

4.1 Simplified models

These models disregard the size of the panel zone. As a result, the structural elements are modelled in a similar fashion to the centreline models despite that, in this case, the behaviour of the connection is modelled with a bilinear moment rotation spring. This zero-length spring (link element) is in turn connected to another link representing the hysteretic behaviour of the beams. Notice, however, that the hysteretic property of the brace intercepted beams in the braced bay are not modelled as they are not considered dissipative elements.

4.2 Refined models

The refined models take the dimensions of the joint into consideration. Two distinct models are used in modelling two different joint behaviours based on the stiffness and strength of the web panels:



Balanced and Strong. The panel zones of extended stiffened joints with strong web panels (ES-S-E and ES-S-F) are modelled using rigid elements to represent the web panels that are assumed stiffened and sufficiently rigid as not to undergo deformations (see Figure 5). It should be noted that the dimensions (height and width of the panel) are properly accounted for by the dimensions of the rigid elements. In addition to the web panel, the stretches of the beams that are stiffened by the stiffening ribs are also modelled with rigid elements. Diagonal brace elements are modelled pinned at both ends with their actual points of intersection properly considered.

For joints with balanced web panels, on the other hand, a more detailed model that implements the Krawinkler-Gupta model is applied as shown in Figure 6. This model, too, accounts for the web panel dimensions. In fact, the whole panel zone is approximated by a set of rigid elements that make up the web quadrilateral. The three ends of the rectangle formed are allowed for free rotation while on one corner two springs are used to model the joint behaviour. This allows for the geometric transformation of the panel from a quadrilateral at right angles to a parallelogram after deformation.



Figure 5 Rigid element models for joints with strong web panels (ES-S-E and ES-S-F)

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ligure o ES-D-E joint model

4.3 Results and discussion

The outcomes of the analyses performed are presented as follows. Figure 7 shows the results from the pushover analyses for the three different connections types assessed disregarding the joint size and behaviour in (a) and considering it in (b).

Figures 8 to 10 present the results from the IDA analysis. The plots show the interstorey drift ratio on each floor for the three limit states, i.e., damage limitation, severe damage and near collapse limit states. In addition, the results have been plotted separately for the simplified and refined models. Note that since the IDA plots for the two typologies with strong web panel (ES-S-E and ES-S-F) are vastly similar except for negligible differences.

The following can be deduced from results of the analyses presented above:

- Pushover analysis: the simplified modelling can lead to relatively flexible structures since it disregards additional stiffness in the case of ES-S-E and ES-S-F.
- The MRF is actively engaged in plastification after the braces yield. The fact that the MRF acts as a reserve resisting system is an advantage to structural redundancy. It was observed that the beams in the braced bay also formed plastic hinges at later stages.
- Considering the refined models, the models with balanced web panel showed higher global over-strength compared to the two cases with strong web panel.



Figure 7 Pushover curves for the frames



Figure 8 IDA – interstorey drift ratio at damage limitation limit state



Figure 9 IDA – interstorey drift ratio at severe damage limit state



Figure 10 IDA – interstorey drift ratio at near collapse limit state

- However convenient, the simplified modelling technique, where the use of links in parallel was employed disregarding the joint dimension, is prone to numerical instability. In the current study, multiple analyses termination at an early stage were observed compared to the refined approach.
- The IDA results show that the frames designed are adequately satisfying the Interstorey drift limits at times even in the SD and NC limit states. This proves that the framing typology's good performance.
- Comparing the performance of the frames with ES-B-E type joints (balanced web panel and equal strength) to the other two (strong web panels), it can be seen that higher interstorey drifts are registered for the former. In addition, the use of a refined model enabled for the capture of a potential soft storey mechanism in the ES-B-E frame.
- The IDA results also show that, due to the asymmetric placement of the pinned bay, results of the interstorey drift seem to be slightly different for drifts in either direction. However, the differences are not exaggerated.

5 Design considering the joints

Table 6 shows that the structure gained relative stiffness with the use of rigid panel zone elements in the model, and the fundamental period of vibration decreased whilst the total base shear increased. Evidently, the design forces for the bracings show some increment as compared to the ones obtained from the analysis that disregards the joint. In spite of the minor increase in the design forces, the brace sections designed disregarding the joints remain unchanged as they possess sufficient resistance to bear the new design actions.

 Table 6
 Comparison of period and base shears for the frames

	Disregarding the joints	Considering the joints' size, strength and stiffness		
	C/L	ES-B-E	ES-S-E	ES-S-F
1st period (s)	0.6226	0.5976	0.5721	0.5705
Base Shear (kN)	1507.6	1564.64	1608.5	1612.8

The design action effects for the brace intercepted beams depend on the axial resistance of the braces in tension and compression. Since the design with the refined model did not lead to changes in the brace section sizes, the design forces for the brace intercepted beam remained the same. For the MRF, except for minor increases in utilization ratio, the designed sections did not change in the frame either. In addition, the design made to fulfil the 25% resistance contribution of the moment resisting frame governed the design.

6 Conclusions

The assessment of the effect of modelling techniques on the global performance of dual concentrically braced steel frames designed with different connection typologies has been performed. The frames were analyzed and designed in two stages: firstly, disregarding the effect of the joints, and secondly accounting for the joint dimensions and behavior. The frames' performances were assessed using three extended stiffened end-plate connection typologies of varying connection strength and joint stiffness values. It was observed that the refined models resulted in relatively stiffer frames and increased total base shear. However, the minor increases in seismic input had

negligible overall effect on the case study D-CBF. As the braced structure was the dominant lateral force resisting system, effects of changes in the stiffness of joints the structural behaviour were not significant. Pushover analyses proved the framing's good seismic performance with the MRF acting as a reserve system compounding structural redundancy. The incremental dynamic analyses showed that the frames adequately satisfy the interstorey drift limits given in Eurocode 8. Furthermore, the refined modelling technique is recommended as it posed advantages such as identifying potential soft storey formation which were not observed with the simplified model.

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